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## TRANSMITTAL FORM

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Total Number of Pages in This Submission

3

Application Number	10/538,126
Filing Date	June 6, 2005
First Named Inventor	Shunsuke Kuroiwa
Art Unit	1624
Examiner Name	Jaisle, Cecilia M.
Attorney Docket Number	442P097

### ENCLOSURES (Check all that apply)

- |   |  |  |
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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/<br>Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify<br>below):<br>-Form PTO-1449 |
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Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name  
Niels & Lemack

Signature

Printed name  
Kevin S. Lemack

Date  
November 3, 2008

Reg. No. 32,579

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Date  
November 3, 2008

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shunsuke Kuroiwa et al.

Serial No. 10/538,126

Filed June 6, 2005

For : 3-PHENYL-CINNOLINE ANALOGUE AND ANTITUMOR AGENT USING  
THE SAME

Examiner : Jaisle, Cecilia M.

Art Unit : 1624

Confirmation No: 2218

Attorney  
Docket No. : 442P097

Commissioner for Patents  
P.O. Box 1450  
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INFORMATION DISCLOSURE STATEMENT

The Examiner is respectfully requested to consider the document(s), which is/are listed on the attached form PTO 1449.

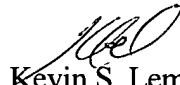
I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from the foreign office in a counterpart foreign application not more than three months prior to the filing of this statement

Reference D1 was previously filed with the patent and Trademark Office in an Information Disclosure Statement on August 17, 2007. References D2 – D4 were previously filed with the patent and Trademark Office in an Information Disclosure Statement on August 18, 2005. Since references D1 – D4 are already of record, they are not being provided herewith.

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Signature: **Kevin S. Lemack**  
Date: **November 3, 2008**

Respectfully submitted,

  
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